

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Complete if Known

Application Number	09/457,109
Filing Date	12/07/1999
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.81487

(use as many sheets as necessary)

Sheet 1 of 4

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
MIK		US- 5,239,466	08/24/1993	Morgan et al.	
MIK		US- 5,434,965	07/18/1995	Matheny et al.	
MIK		US 5,893,126	04/06/1999	Drews et al.	
MIK		US- 5,632,022	05/20/1997	Warren et al.	
MIK		US- 5,682,439	10/28/1997	Beernink et al.	
MIK		US- 5,687,331	11/11/1997	Volk et al.	
MIK		US 6,421,065 B1	07/16/2002	Walden et al.	
MIK		US 5,978,818	11/02/1999	Lin	
MIK		US 6,321,244 B1	11/20/2001	Liu et al.	
MIK		US- 5,717,879	02/10/1998	Moran et al.	
MIK		US- 5,729,687	03/17/1998	Rothrock et al.	
MIK		US- 6,181,344	01/30/01	Tarpenning et al.	
MIK		US- 6,094,197	07/25/00	Buxton et al.	
MIK		US- 5,745,116	04/28/1998	Pisutha-Arnold	
MIK		US- 6,331,867	12/18/2001	Eberhard et al.	
MIK		US- 6,256,287	03/12/2002	Ruberry et al.	
MIK		US- 5,790,818	08/04/1998	Martin	
MIK		US- 5,821,925	10/13/1998	Carey et al.	
MIK		US- 5,948,040	09/07/1999	DeLorme et al.	
MIK		US- 5,950,214	09/07/1999	Rivette et al.	
MIK		US 6,389,434 B1	05/14/2002	Rivette et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
MIK		WO 96/20908	07/11/1996	Mikitenko et al.		
MIK		WO 98/06054	02/12/1998	Huffman et al.		
MIK		WO 99/49383	09/30/1999	Ruberry et al.		

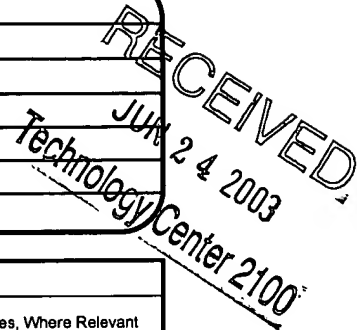
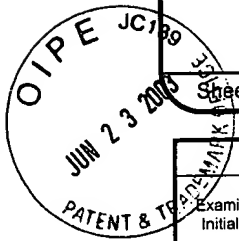
Examiner
Signature

Markhanhnguyen

Date
Considered

05/22/03

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Attorney Docket Number	003797.81487

Sheet	2	of	4
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 4 of 4

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First Named Inventor	Darryl Rubin et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.81487

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

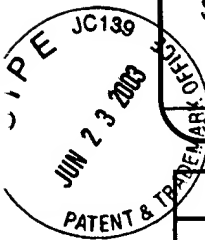
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110	
		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
		Open eBook Forum, Open eBook™ Publication Structure 1.0, http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm	
		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	
		Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
		Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999	
		Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 2023





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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

**Title of
Invention****Computer User Interface Architecture Wherein Both Content
and User Interface are Composed of Documents with Links**

Application Number: 09/457109
Confirmation Number: 7310
First Named Applicant: Darryl Rubin
Attorney Docket Number: 003797.81487
Art Unit: 2176
Examiner: M Nguyen
Search string: (5434929 or 5666113).pn.

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

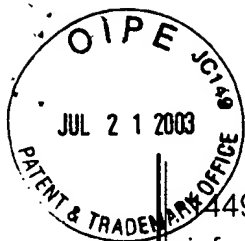
Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Mk	1	5434929	1994-07-12	Beernink et al.			
Mk	2	5666113	1995-09-05	Logan			

Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO



PTO-1449 form. The undersigned certifies under 37 C.F.R. 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement. The references were first cited in related application Serial No. 09/455,808 in an Office Action mailed July 1, 2003. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. Applicants believe that no fee is necessary pursuant to 37 C.F.R. 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Manh Thanh Nguyen</i>	09/22/03

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